

**MID-TERM EVALUATION**  
**HIV/AIDS/STI PREVENTION AND CARE PROJECT**  
**USAID/DOMINICAN REPUBLIC**

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## ACRONYMS

|           |   |
|-----------|---|
| ADOPLAFAM | Asociación Dominicana de Planificación Familiar/ <i>Dominican Republic Association for Family Planning</i>  |
| AED       | Academy for Educational Development   |
| ALA       | <i>Support for the Dominican Elderly</i>  |
| ASA       | Amigos Siempre Amigos/ <i>Friends Always Friends</i>  |
| BCC       | behavior change communication   |
| BSS       | Behavioral Sentinel Surveillance  |
| CASCO     | Centro de Animación Socio-Cultural/ <i>Center for Social Cultural Activities</i>  |
| CEPAC     | Centro de Educación para el Desarrollo Comunitario/ <i>Center for Community Development and Education</i>   |
| CEPROSH   | Centro de Promoción y Solidaridad Humana, Inc./ <i>Center for the Promotion of Human Solidarity</i>   |
| CIAC/ASAP | Centro de Investigación y Apoyo Cultural/ <i>Center for Cultural Support and Studies</i><br>Asociación para la promoción de la Salud Pública/ <i>Association for the Promotion of Public Health</i> |
| COIN      | Centro de Orientación e Investigación Integral, Inc./ <i>Center for Integral Orientation and Studies</i>  |
| CONASIDA  | Comisión Nacional de SIDA/ <i>National AIDS Commission</i>  |
| CONEP     | Consejo Nacional de la Empresa Privada/ <i>National Business Council</i>  |
| COPRESIDA | Comisión Presidencial del SIDA/ <i>Presidential AIDS Commission</i>   |
| CSW       | commercial sex worker   |
| DIGECITSS | Dirección General de Control de las Infecciones de Transmisión Sexual y SIDA/ <i>National Coordination for the Control of Sexually Transmitted Infections and AIDS</i>                              |
| EEC       | European Economic Community   |
| ENDESA    | Encuesta Demográfica y de Salud/ <i>Demographic and Health Survey</i>   |
| FHI       | Family Health International   |
| GODR      | Government of the Dominican Republic  |
| IDAC      | Instituto de Acción Comunitaria/ <i>Institute of Community Action</i>   |
| IDCP      | Instituto Dermatológico y Cirugía de la Piel / <i>National Dermatological Institute</i>   |
| IDDI      | Instituto Dominicano de Desarrollo Integral, Inc./ <i>Dominican Institute for Development</i>   |
| IEC       | information, education, and communication   |
| INSALUD   | Instituto Nacional de la Salud/ <i>National Health Institute</i>  |
| JICA      | Japan International Cooperation Agency  |
| JSI       | John Snow International   |
| MOSCTHA   | Movimiento Socio Cultural de los Trabajadores Haitianos / <i>Social and Cultural Movement of Haitian Workers</i>  |
| MSM       | men who have sex with men   |
| MTCT      | mother-to-child transmission  |

|                |  |
|----------------|--|
| MUDE           | Mujeres en Desarrollo Dominicana, Inc. / <i>Dominican Women in Development</i>   |
| NGO            | nongovernmental organization   |
| ONUSIDA/UNAIDS | Joint United Nations Programme on HIV/ AIDS  |
| PAHO           | Pan American Health Organization   |
| PAV            | Propuesta de Apoyo a la Vida/ <i>Proposal for Life Support</i>   |
| PEN            | Plan Estrategico Nacional/ <i>National Strategic Plan for HIV/AIDS</i>   |
| PPT            | pre-packaged treatment   |
| PREVIHSA       | Proyecto de Apoyo al Programa de Prevención de ETS/VIH/SIDA/<br><i>European Economic Community HIV/AIDS Prevention Project</i>                               |
| PROCETS        | Programa de Control de Enfermedades de Transmisión Sexual y SIDA/<br><i>Control of STI/AIDS Programme</i>  |
| PROFAMILIA     | Asociación Dominciana Pro-Bienestar de la Familia, Inc./ <i>Dominican Association for the Promotion of the Family</i>  |
| PROMESE        | Programa de Medicamentos Esenciales/ <i>National Essential Drug Program</i>  |
| PROPAS         | Fundación Dominicana para la Promoción y Acción Social/ <i>Dominican Foundation for the Promotion of Social Action</i>                                       |
| PROSISA        | Programa de Reforzamiento del Sistema de Salud de la República Dominicana/ <i>Program for the Enhancement of the Health System in the Dominican Republic</i> |
| PSI            | Population Services International  |
| REDOVIH+       | Red Nacional de Personas que Viven con VIH / <i>National Network of Persons Living with HIV/AIDS</i>   |
| SESPAS         | Secretaría de Estado de Salud Pública y Asistencia Social/ <i>State Secretary of Public Health and Assistance</i>  |
| STD            | sexually transmitted disease   |
| STI            | sexually transmitted infection   |
| UNAIDS         | Joint United Nations Programme on HIV/AIDS   |
| USAID          | US Agency for International Development  |
| VCT            | voluntary counseling and testing   |
| VIH/SIDA       | Virus de inmunodeficiencia humana/Síndrome de inmunodeficiencia adquirida)   |
| VT             | vertical transmission  |

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## EXECUTIVE SUMMARY

The evaluation team found that, overall, the USAID/Dominican Republic revised Strategic Objective 2 (SO2) for the Health and Population sector for 1999-2004, “Increased use of sustainable basic health services and practices,” and the Intermediate Result, IR1, under SO2 for HIV/AIDS/Sexually Transmitted Infections (STIs), “Increased access to HIV/AIDS/STI prevention and care services by at-risk and affected populations of the Dominican Republic,” remain valid and relevant for the country. USAID-supported projects are working toward meeting the intermediate results through the implementation of proposed strategies.

USAID support for programs in the Dominican Republic (DR) (US\$11,225,000.00) is recognized as the major source of financial and technical assistance for the development and implementation of a national response to the AIDS epidemic. USAID/DR’s active participation and support for the development of the *Plan Estrategico Nacional de ITS/SIDA 2000-2003* (PEN) (National Strategic Plan for HIV/AIDS, 2000-2003), in collaboration with other international and national agencies, facilitated the implementation of important public policies and programs. PEN is complemented by the Provincial Operative Plans, also developed through the strengthening of management and technical capacity of the *Direccion General de Control de las Infecciones de Transmision Sexual y SIDA* (DIGECITSS), or National Coordination for the Control of Sexually Transmitted Infections and AIDS, within the Ministry of Health System (*Secrataria de Estado de Salud Publica y Asistencia Social*).

USAID-supported projects have made progress toward increasing access to HIV/AIDS/STI prevention services, with some improvement of HIV/AIDS-related surveillance and reporting systems. A pilot project to promote STI pre-packaged therapy has also shown some success.

Additional successful results include greater involvement of civil society in the fight against AIDS via the creation of an NGO/AIDS Coalition and establishment of the Dominican Republic Network of Persons Living with HIV/AIDS (REDOVIH+). NGO intervention projects targeted to at-risk populations have led to reductions in high-risk sexual behavior.

Some of these projects have been identified as “best practices” and should be considered for expansion to other regions of the country. Such projects include: Project Clara for care and support of people living with HIV; behavior change interventions for sex workers implemented by the Center for the Promotion of Human Solidarity (*Centro de Promoción y Solidaridad Humana, Inc.* or CEPROSH); the *Avancemos Project* on STI treatment; and the “100% Condom Use” methodology pioneered in Thailand. Other successful interventions include projects that feature extensive involvement and participation of beneficiary populations, such as men who have sex with men (MSMs), youth, and commercial sex workers (CSWs).

National AIDS prevention and control strategies implemented in recent years have resulted in demonstrable reductions in the number of sexual partners and increased condom use in the general population, thus reducing risk of infection. The government of Dominican Republic has been less successful, however, in increasing access to primary health care and incorporating HIV/AIDS/STI care into the public health system. Even though USAID/DR has played a

proactive and supportive role in collaborating with the National AIDS Commission/ National Coordination for the Control of Sexually Transmitted Infections and AIDS (CONASIDA/DIGECITSS), situational constraints caused by changes in government and a corresponding redefinition of roles and responsibilities resulted in delays in implementation of the public health reform process. In addition, some of the country's most vulnerable populations are in need of greater prevention and care services (e.g., MSM sub-populations (young MSMs, male CSWs, transvestites, heterosexual men), the *bateye* (sugar mill/migrant worker) communities, and confined populations(i.e., prisoners)).

The following summary highlights overall findings and major recommendations made by the evaluation team in respect to USAID project approaches and strategies. The summary offers specific recommendations regarding activity implementation for current strategies and reinforces specific approaches for the project's next phase. Section III of this report provides further details.

## **1. Stigma and Discrimination**

High levels of stigma and discrimination continue to be directed toward Dominicans living with HIV/AIDS. Every institution visited and person interviewed for this report expressed concern on this topic. Though most agreed that stigma had diminished (if only somewhat) among the general population, a high level of discrimination remains, and many health professionals at major public hospitals and clinics continue to resist caring for AIDS patients. In addition, physicians continue to request HIV tests from patients who are eligible for surgery without their knowledge and refuse to assist them if the test result is positive. Businesses use HIV tests in hiring and firing decisions without obtaining the employee's consent or offering pre- or post-test counseling.

**Recommendation:** Implement in-service training and an information, education and communication (IEC) campaign directed toward health professionals of all levels, including training on biosafety procedures and information on the care of AIDS patients. Informational materials and posters on human rights, solidarity, and HIV transmission, especially in health care settings, should be developed and posted inside hospitals, clinics and other health facilities.

**Recommendation:** Mechanisms for the dissemination and reinforcement of AIDS Law 55-93 should be discussed with both government officials and the AIDS NGO Coalition.

## **2. Behavior Change Communication**

Although television and radio spots often focus on condom use, IEC/mass media prevention messages also emphasize partner reduction/fidelity, abstinence/postponement of sexual debut, and dissemination of information on STI treatment/prevention. This strategy of combined messages has made an impact on HIV infection, partly because it is appropriate to the cultural and sociopolitical context of Dominican Republic. However, discrimination, stigma and associated ignorance and misinformation about HIV/AIDS have not been adequately addressed. Mass media campaigns have not included MSM-oriented HIV prevention messages; this population (young MSMs in particular) may not be receiving an adequate level of information and/or services.

The *bateye* (migrant worker) communities dispersed throughout Dominican Republic constitute a large and important high-risk population for AIDS and other STIs. These impoverished and historically marginalized communities remain difficult to reach, and often have no access to health care services, condoms, or HIV/AIDS prevention programs. The prisoner population appears to be at high risk of HIV/STI infection but also tends to receive little in the way of effective treatment or prevention services.

**Recommendation:** Gains in reducing risky sexual behavior should be maintained and extended through continuation of IEC campaigns oriented to prevention of sexual transmission of AIDS/STIs. Such campaigns should continue to involve a diverse approach, incorporating partner reduction and abstinence/fidelity messages, in addition to information on condom use.

**Recommendation:** IEC campaigns' focus on youth should be expanded to encompass young MSMs, including those who may not necessarily identify themselves as homosexuals. Programs to reach at-risk youth in the *bateye* communities should also be adequately supported and monitored to ensure that a sufficient level of HIV/AIDS/STI services is available to this marginalized population.

**Recommendation:** HIV/AIDS prevention programs and STI services for the adult *bateye* population should be integrated and should include bilingual IEC materials in Creole. Family planning and primary health care programs should also be integrated with HIV/STI prevention efforts.

**Recommendation:** HIV/STI prevalence and behavioral practices research among prisoner populations is needed to develop effective prevention and treatment programs. Research may be conducted in conjunction with NGOs or other interested organizations.

**Recommendation:** Peer counseling and other HIV education interventions implemented by people living with HIV/AIDS should be expanded. This can be achieved through collaboration with networks of HIV-positive persons, such as REDOVIIH+ and Grupo Clara.

**Recommendation:** More research is needed to measure HIV and STI prevalence in MSMs and other men in order to better estimate the actual total of HIV infections and AIDS cases comprised of MSMs, which evidence suggests may be significant.

### **3. Condom Availability and Access**

Condom availability in Dominican Republic is limited according to the purchasing capability of the population, thus severely restricting access to the most effective method of HIV/STI prevention among groups who are most vulnerable to HIV infection (i.e., youth and poor women). Persons living with HIV/AIDS also have limited access to condoms, thereby increasing their chance of transmitting HIV to uninfected partners.

**Recommendation:** Since the condom is still considered the most effective barrier method for prevention of sexually transmitted HIV and other STIs, there is an urgent need for coordination of, and advocacy for, a national condom distribution policy. Such a policy would guide the



activities of national and international agencies working in HIV/AIDS prevention and care, as well as those working in family planning.

#### **4. STI Prevention and Treatment**

STI diagnosis and treatment services are generally available in the major cities targeted by USAID's project. Nevertheless, STI services are more commonly found in clinical units segregated from other health care units, reflecting high levels of stigma associated with STI treatment. Stigma is also evident in the expectation that commercial sex workers seek STI services at different hours than the population at large.

##### *STI Management*

The USAID/AIDSMARK strategy for capacity building in STI management and program decentralization within DIGECITTS includes allocation of funds and short-term technical assistance provided by a senior STI management consultant. The consultant assists with revision and validation of the STI management manual and the national training curriculum. Medical doctors have been trained at the central, provincial and municipal levels. In addition, DIGECITTS has incorporated a primary health worker model into its manual for care providers at the primary level.

##### *STI Pre-Packaged Treatment (PPT) Pilot Project*

This pilot project, developed in November 2000 by DIGECITSS, included design of an STI package for three health care centers—one serving CSWs, one serving military troops, and one serving the general population. Information and promotional materials were distributed to health professionals, drug stores and popular pharmacies or *boticas populares*. Medical registry and monitoring and evaluation forms were also designed and distributed. Preliminary results indicate high levels of acceptance of PPT by health professionals and satisfaction by both male and female clients.

**Recommendation:** STI syndromic management should be integrated into primary health care at all levels of the public health system: dispensary, rural or urban outpatient clinics, maternal and child health or family planning sites, and outpatient clinics at hospitals.

**Recommendation:** Since the PPT pilot project was implemented in a specialized STI outpatient service at hospital sites in the capital city of Santo Domingo, it will be necessary to assess satisfaction by users of the PPT in other sites before expanding the model nationwide.

#### **5. Capacity Building**

Capacity building through short-term technical assistance and study tours has been helpful in improving staff skills within DIGECITTS for STI management and program implementation. Specific capacity building activities have included development of a National Strategic Plan for AIDS Prevention and Care, development of 12 provincial strategic plans, and NGO management workshops.

**Recommendation:** DIGECITSS should enforce adherence to the STI syndromic approach by focusing its activities on technical assistance in monitoring and evaluation. Activities should be implemented in the next 2 years.

**Recommendation:** Mechanisms and opportunities for sub-grantee organizations to exchange information about project experiences and intervention strategies should be offered. Such opportunities will increase information dissemination between persons who participate in training courses, seminars and conferences and other NGO staff.

## **6. Monitoring and Evaluation**

Following a period of high staff turnover, AcciónSIDA—an HIV/AIDS NGO capacity building organization managed by the Academy for Educational Development (AED)—recently hired an outside consultant to revise its evaluation plan to include all project-level result (“output” and “outcome”) prevention indicators. Previous indicators were revised to meet the needs for the SO2 and IR1, and new ones were identified to meet the needs of specific project interventions and targeted populations. Prevention indicators have been defined according to available baseline data for 1999/2000. Results evaluation studies have been planned for 2002. Indicators are clearly linked to the strategic objective and intermediate results, as well as to the lower-level subresults as originally proposed by the project. Process indicators have also been identified and included in the evaluation plan.

As it stands, no project situational analysis has been carried out. More specifically, no information is available about target populations or the social and cultural context related to STI and HIV transmission. Such information is needed to assess actual project coverage of proposed interventions.

**Recommendation:**

AcciónSIDA should involve sub-grantee NGOs in the evaluation process to build in-house capacity and continuity of project monitoring based on standard indicators for future impact assessment.

**Recommendation:**

A project situational analysis is needed to integrate epidemiological, behavioral and socioeconomic data to illustrate the dynamics of the epidemic at both the provincial and local levels.

## RESUMEN EJECUTIVO

El equipo de evaluación ha llegado a la conclusión de que, en términos generales, el “mayor uso de los servicios y prácticas sanitarias básicas viables” potenciado por el Objetivo Estratégico 2 (SO2) revisado de USAID/República Dominicana para el sector de la salud y la población para el período de 1999-2004 y la “mejora en el acceso a la prevención y servicios médicos para el tratamiento de VIH/SIDA/Infecciones de Transmisión Sexual por parte de la población en peligro y afectada de la República Dominicana” potenciado por el Resultado Intermedio (IR1), bajo SO2 en referencia a VIH/SIDA/ITS, siguen siendo válidos y relevantes para el país. Los proyectos sostenidos por USAID pretenden cumplir con los resultados intermedios mediante la implementación de las estrategias propuestas.

La ayuda que USAID presta a los programas que se desarrollan en la República Dominicana (US\$11,225,000.00) es la mayor fuente de asistencia financiera y técnica en el proceso de desarrollo e implementación de una respuesta nacional a la epidemia del SIDA. La participación y asistencia activas de USAID y la República Dominicana para el desarrollo del *Plan Estratégico Nacional de ITS/SIDA 2000-2003* (PEN), 2000-2003, en colaboración con otras agencias internacionales y nacionales, facilitan la implementación de importantes planes y programas públicos. El PEN se ve reforzado por los Planes Operativos Provinciales, que también han sido desarrollados mediante el reforzamiento de la capacidad administrativa y técnica de la Dirección General de Control de las Infecciones de Transmisión Sexual y SIDA (DIGECITSS), que pertenece a la Secretaría de Estado de Salud Pública y Asistencia Social.

Los proyectos suscritos por USAID han conseguido ampliar el acceso a los servicios de prevención de VIH/SIDA/ITS, y una cierta mejora en los sistemas de supervisión y notificación de casos de VIH/SIDA. También se ha conseguido avanzar en la promoción de una terapia preempaquetada para el tratamiento de las infecciones de transmisión sexual.

Entre los logros adicionales se cuentan un aumento de la participación de la sociedad civil en la lucha contra el SIDA, a través de la creación de una coalición entre organizaciones no gubernamentales y la fundación de la Red de Personas que viven con VIH (REDOVIH+) de la República Dominicana. Los proyectos de intervención de las ONG centrados en el sector de la población expuesto a un mayor riesgo han supuesto la reducción de los tipos de comportamiento sexual más arriesgados.

Algunos de estos proyectos han sido declarados “prácticas óptimas” y se deberán considerar para su expansión en otras regiones del país. Estos proyectos son: el Proyecto Clara destinado a ofrecer atención y asistencia a las personas que viven con VIH; las intervenciones concebidas con el fin de cambiar el comportamiento de los trabajadores del sexo y que puso en práctica el Centro para la Promoción de la Solidaridad Humana (CEPROSH); el *Proyecto Avancemos* para el tratamiento de las enfermedades de transmisión sexual; y la metodología de “uso de condones al 100 por 100” de la que fue pionera Tailandia. Entre las demás intervenciones que se han llevado a cabo con éxito se cuentan proyectos que implican la plena participación de la población beneficiaria, como pueden ser los hombres que tienen relaciones sexuales con otros hombres, los jóvenes, y los trabajadores comerciales del sexo.

Las estrategias nacionales de prevención y control del SIDA que han sido puestas en práctica en los últimos años han resultado en reducciones demostrables del número de parejas sexuales y el aumento del uso de condones entre la población en general, con lo cual se ha conseguido reducir el riesgo de infección. Sin embargo, el gobierno de la República Dominicana no ha tenido tanto éxito en su esfuerzo por ampliar el acceso a la atención médica primaria y en la incorporación de la atención al VIH/SIDA/ITS en el sistema de salud público. Aunque USAID y la República Dominicana han jugado un papel proactivo en su colaboración con la Comisión Nacional del SIDA/Coordinación Nacional para el Control de las Infecciones de Transmisión sexual y el SIDA (CONASIDA/DIGECITSS), ciertos factores debidos a cambios en el gobierno y la redefinición correspondiente de papeles y responsabilidades tuvieron como consecuencia demoras en la puesta en práctica del proceso de reforma de la salud pública. A esto hay que añadir que parte de la población más vulnerable del país necesita más servicios de prevención y atención sanitaria (por ejemplo, la población de hombres que tiene relaciones sexuales con otros hombres (jóvenes homosexuales, trabajadores del sexo varones, travestís, hombres heterosexuales), las comunidades de los bateys (trabajadores temporeros y de las azucareras) y las poblaciones confinadas (presos)).

El resumen siguiente destaca los resultados generales y las principales recomendaciones redactadas por el equipo evaluador en relación con los enfoques y estrategias del proyecto USAID. Este resumen ofrece recomendaciones específicas sobre la puesta en práctica de actividades para desarrollar las estrategias vigentes y hace hincapié en enfoques concretos durante la siguiente fase del proyecto. La Sección III de este informe expone detalles adicionales.

#### **4. Estigma y discriminación**

Los dominicanos con VIH/SIDA todavía sufren una gran discriminación. Todas las instituciones y personas entrevistadas para la redacción de este informe expresaron su preocupación al respecto. Aunque la mayoría pensaban que el estigma había disminuido (aunque sólo fuera un poco) entre la población en general, todavía existe discriminación y muchos profesionales sanitarios en los principales hospitales y ambulatorios se resisten a atender a los pacientes que sufren de SIDA. Además de esto, los médicos siguen ordenando análisis de VIH para los pacientes que van a sufrir una operación quirúrgica sin que ellos lo sepan y se niegan a asistirles si el análisis resulta positivo. Las empresas se sirven de los análisis de VIH para contratar y despedir a sus empleados, sin obtener su consentimiento previo ni ofrecerles asesoramiento antes ni después del análisis.

##### **Recomendación:**

Implementar una campaña de capacitación en el trabajo, y de información, educación y comunicación dirigida a los profesionales de la salud en todos los niveles, que incluya la formación sobre procedimientos de bioseguridad e información sobre la atención que necesitan los pacientes de SIDA. También será necesario diseñar y colocar folletos informativos y carteles sobre los derechos humanos, la solidaridad y la transmisión del VIH, especialmente en centros sanitarios. Este material informativo también se deberá poner a disposición del público en hospitales, ambulatorios y otros centros de salud.

##### **Recomendación:**

Se deberán tratar los mecanismos de difusión y refuerzo de la Ley 55-93 sobre el SIDA, tanto con los funcionarios del gobierno como con la coalición de ONG para el SIDA.

## **5. Difusión de la información sobre cambio de comportamiento**

Aunque los anuncios en la televisión y la radio suelen centrarse en el uso de condones, los mensajes de prevención de información, educación y comunicación en los medios de comunicación de masas también enfatizan la importancia de la fidelidad y de la reducción del número de parejas, la abstinencia y el retraso de las primeras relaciones sexuales, y la difusión de información sobre el tratamiento y la prevención de las infecciones de transmisión sexual. Esta estrategia de mensajes combinados ha influido en la reducción de la diseminación de la infección por VIH, en parte porque es apropiada para el contexto cultural y sociopolítico de la República Dominicana. El problema es que no se ha trabajado lo suficiente en reducir la discriminación y el estigma, y la ignorancia y falta de información sobre el VIH/SIDA que los acompañan. Las campañas en los medios de comunicación de masas no contemplaban la inclusión de mensajes de prevención del VIH dirigidos a los hombres que tienen relaciones sexuales con otros hombres; este sector de la población (especialmente los más jóvenes entre ellos) pueden no estar recibiendo un nivel adecuado de información y servicios.

Las comunidades de los bateys de trabajadores temporeros que están dispersas por toda la República Dominicana constituyen un grupo numeroso e importante de personas en riesgo de contraer SIDA y otras ITS. Estas son comunidades empobrecidas y marginadas históricamente y es difícil hacerles llegar la información necesaria, con el problema adicional de que muchas veces no tienen acceso a servicios médicos, condones ni programas de prevención del VIH/SIDA. También la población carcelaria parece ser muy vulnerable a la infección de VIH/ITS y además no suele recibir un tratamiento eficaz ni servicios de prevención.

### **Recomendación:**

Los avances logrados en la reducción de la conducta sexual arriesgada se deben mantener y ampliar mediante la continuación de las campañas de información, educación y comunicación centradas en la prevención de la transmisión por vía sexual de SIDA/ITS. Tales campañas deberán seguir incorporando un enfoque diverso, con mensajes que refuercen la idea de la reducción de las parejas sexuales, además de la abstinencia o la fidelidad, además de información sobre el uso de condones.

### **Recomendación:**

El enfoque que las campañas de información, educación y comunicación tienen en la juventud se debe ampliar con la inclusión de los hombres que tienen relaciones sexuales con otros hombres, sin olvidar aquéllos que no se consideran a sí mismos homosexuales. Los programas destinados a transmitir los mensajes a los jóvenes más vulnerables en la comunidades de los bateys deben ir acompañados de la asistencia y el control esenciales para garantizar que esta población marginal dispone de los servicios de VIH/SIDA/ITS que necesita.

### **Recomendación:**

Los programas de prevención del VIH/SIDA y los servicios de ITS para la población adulta de los bateys deben estar integrados e incluir material de información, educación y comunicación

bilingüe en criollo. En el programa de prevención del VIH/ITS también se deben incluir programas de planificación familiar y de conocimientos sanitarios básicos.

**Recomendación:**

Será necesario iniciar una investigación de la presencia de las infecciones y el comportamiento de la población carcelaria para poder desarrollar programas eficaces de prevención y tratamiento. La investigación se podrá realizar en colaboración con las ONG y otras organizaciones interesadas.

**Recomendación:**

Se recomienda la ampliación de la participación de personas que viven con VIH/SIDA en las tareas de educación de pares y en otras intervenciones para la educación sobre el VIH. Esto se puede conseguir a través de la colaboración con organizaciones de personas seropositivas, como por ejemplo la REDOVIIH+ y el Grupo Clara.

**Recomendación:**

Será necesario investigar más a fondo para evaluar la presencia de VIH y ITS entre los hombres que tienen relaciones con otros hombres, y otros sectores de la población masculina, para poder estimar mejor el total real de infecciones de VIH y de casos de SIDA en el grupo de hombres que tienen relaciones con otros hombres, ya que se piensa que puede ser una cifra significativa.

## **6. Disponibilidad y acceso a los condones**

La disponibilidad de condones en la República Dominicana se ve limitada por el poder adquisitivo de la población, por lo que los grupos más vulnerables a la infección de VIH (los jóvenes y las mujeres pobres) son también los que menos acceso tienen a este importante método de prevención del VIH/ITS. Las personas que viven con VIH/SIDA también tienen un acceso limitado a los condones, por lo que aumenta el riesgo de que le transmitan el virus a su pareja.

**Recomendación:**

Debido a que los condones se consideran todavía el mejor método de barrera que existe para la prevención del VIH de transmisión sexual y otras ITS, es urgente la creación y difusión de una política nacional de distribución de condones. Tal política guiaría las actividades de las agencias nacionales e internacionales que trabajan en la prevención del VIH/SIDA y la atención a los enfermos, así como aquéllas que trabajan en el sector de la planificación familiar.

## **4. Prevención y tratamiento de las ITS**

Los servicios de tratamiento y diagnóstico suelen estar disponibles en las principales ciudades objeto de los esfuerzos del proyecto USAID. El problema es que los servicios de ITS se encuentran generalmente en unidades independientes de otros centros médicos, lo cual refleja el gran estigma asociado con el tratamiento de las ITS. Este estigma se hace evidente también en la expectativa de que los trabajadores del sexo comercial asistan a los servicios de ITS a horas distintas que el resto de la población.

### Gestión de las ITS

La estrategia USAID/AIDSMark para aumentar la capacidad en la gestión de las ITS y la descentralización de programas dentro de la DIGECITTS incluye la asignación de fondos y la asistencia técnica a corto plazo de la que se encarga un asesor especializado en la gestión de ITS. El asesor asiste en la revisión y aprobación del manual de gestión de ITS y en currículo nacional de capacitación. Siguiendo esta misma línea, en los ámbitos central, provincial y municipal se ha entrenado a médicos. Además, la DIGECITTS ha incluido un modelo de trabajador sanitario en su manual destinado al personal en el nivel de atención primario.

### Proyecto piloto de Tratamiento Preempaquetado de las ITS (PPI)

Los resultados preliminares indican un gran nivel de aceptación por parte de los profesionales de la salud y de satisfacción entre los clientes, tanto mujeres como varones. Este proyecto piloto, desarrollado en el mes de noviembre de 2000 por la DIGECITSS, contenía el diseño de un paquete de ITS para tres centros de atención sanitaria—uno dedicado al sector de la prostitución, otro a las tropas y otro al público en general. Se distribuyó información y material promocional entre profesionales de la salud, farmacias y boticas populares. También se asignaron y distribuyeron partes médicos y formularios de supervisión y evaluación.

### **Recomendación:**

La gestión sintomática de las ITS se debe integrar en todos los niveles de la atención sanitaria primaria del sistema de salud pública: dispensarios, ambulatorios rurales y urbanos, centros de salud materno infantil y de planificación familiar, y ambulatorios en hospitales.

### **Recomendación:**

Como el proyecto piloto PPI fue puesto en práctica en servicios ambulatorios especializados en ITS instalados en hospitales de la ciudad de Santo Domingo, será necesario evaluar la satisfacción de los usuarios del proyecto en otros sitios antes de aplicar el modelo a toda la nación.

## **5. Aumento de la capacidad**

El aumento de la capacidad mediante asistencia técnica a corto plazo y giras de observación ha ayudado a mejorar la competencia del personal dentro de la DIGECITTS en la gestión de las ITS y la puesta en práctica del programa. Entre las actividades concretas destinadas a aumentar la capacidad se encuentran el desarrollo del Plan Estratégico Nacional para la Prevención y Cuidado del SIDA, el desarrollo de 12 planes estratégicos provinciales y la creación de talleres de gestión de las ONG.

**Recomendación:**

La DIGECITSS tendrá que insistir en el cumplimiento del enfoque sintomático en las ITS. Para hacerlo será importante centrar los esfuerzos en actividades de asistencia técnica sobre supervisión y evaluación. Estas actividades se deberán poner en práctica en los próximos años.

**Recomendación:**

Se deben ofrecer mecanismos y oportunidades para que organizaciones subdonatarias intercambien información sobre las experiencias que han tenido con los proyectos y con las estrategias de intervención. Estas oportunidades permitirán la difusión de la información entre las personas que participen en cursos de capacitación, seminarios y conferencias y el resto del personal de las ONG.

## **7. Supervisión y organización**

Después de un período de cambios constantes en el personal, AcciónSIDA—una organización no gubernamental que trabaja en el sector del VIH/SIDA, dedicada al aumento de capacidad de las ONG y bajo la administración de la Academia para el Desarrollo Educativo (AED)—contrató hace poco a un asesor independiente para que revisara su plan de evaluación de forma que incluyera todos los indicadores de prevención de resultados de los proyectos (tanto el rendimiento como los efectos). También se revisaron los indicadores previos para que se adaptaran al SO2 y al IR1, y se identificaron otros nuevos para ciertos proyectos de intervención específicos y poblaciones concretas. Los indicadores de prevención se definieron según los datos de referencia existentes para 1999/2000. También se han planeado estudios de evaluación de resultados para 2002. Los indicadores están claramente ligados al objetivo estratégico y a los resultados intermedios, así como a los subresultados de niveles inferiores como se propuso al principio del proyecto. Los indicadores de procesos también han sido identificados e incluidos en el plan de evaluación.

En estos momentos, todavía no se ha realizado un análisis situacional de los proyectos. En concreto, no se dispone de información sobre los grupos de población objeto ni el contexto sociocultural en relación con la transmisión de las ITS y el VIH. Esta información es necesaria para evaluar la cobertura real de los proyectos de intervención propuestos.

**Recomendación:**

AcciónSIDA debe conseguir la cooperación de las ONG subdonatarias en el proceso de evaluación, para ampliar así la capacidad interna y garantizar la continuidad de la supervisión de los proyectos según los indicadores estándar, y poder evaluar así el impacto en el futuro.

**Recomendación:**

Se necesita un análisis situacional de los proyectos para integrar los datos epidemiológicos, de comportamiento y socioeconómicos para ilustrar la dinámica de la epidemia en el ámbito provincial y en el local.



## I. INTRODUCTION

### 1.1 Purpose of Evaluation

The overall objective of this evaluation was to assess the midterm progress achieved towards the expected results for Intermediate Result 1, **"Increased access to HIV/AIDS/Sexually Transmitted Infection (STI) prevention and care services by at risk and affected populations of the Dominican Republic"** (IR 1) under the Strategic Objective 2, **"Increased use of Sustainable Basic Health Services and Practices"**, and to provide recommendations to USAID to strengthen or modify the IR 1 strategy.

Specific objectives were to determine whether the assumptions and strategies considered by USAID/Dominican Republic (DR) are still valid, to analyze the progress achieved toward obtaining the stated results, and to determine if capacity building is taking place and is effective.

### 1.2 Methodology

The evaluation team was composed of three members: an HIV/AIDS program management and evaluation specialist/team leader, a behavior change communication and HIV/AIDS research specialist, and an epidemiologist HIV/STI specialist. The methodology used in this evaluation included:

- a) A review of the enhanced Scope of Work, project documents, project papers and reports, and studies and research papers related to the HIV/AIDS situation in the Dominican Republic prior to the beginning of the evaluation and throughout the evaluation process.
- b) Group and individual meetings and interviews were conducted with the following: Synergy Project staff, Latin America/Caribbean (LAC) Bureau and HIV-AIDS Division staff at USAID/Washington, USAID/DR staff, senior officials from U.S. Government AIDS commissions and programs, key officials from the health sector, staff of the Joint United Nations Programme on HIV/AIDS (UNAIDS), European Economic Community (EEC) officials, staff of the Academy for Educational Development (AED) (AcciónSIDA), Family and Health International (FHI) representatives and funded nongovernmental organization (NGO) staff. Meetings and interviews focused on project performance to assess whether interventions were effectively applying strategies, and whether the approach and strategies used were effective in integrating components into various levels of health care and related sectors. The team also focused on determining the level of inter-agency coordination and sub-grantee achievement related to project activities. Interviewees were asked to assess project activities in relation to relevance, complementarity, and ability to reach target populations.
- c) Site visits to Santo Domingo and Puerto Plata were conducted to assess project activities targeting groups such as *bateyes* (sugar mill/migrant workers), commercial sex workers (CSWs), youth, people living with HIV/AIDS, and people attending STI clinics. These visits included observations, interviews, and discussion groups to assess the level of project

implementation and relevance to target populations, as well as the level of knowledge and participation of project staff in management, monitoring and evaluation, and decisionmaking. Focus groups were also conducted with youth in Puerto Plata and a semi-rural area near the second largest city, Santiago, to assess the impact of HIV/AIDS information, education, and communication (IEC) programs.

- d) Debriefings were conducted at the presentation of the draft report to USAID/DR, and were followed by a presentation of the draft report to the National Coordination for the Control of Sexually Transmitted Infections and AIDS (*Dirección General de Control de las Infecciones de Transmisión Sexual y SIDA* or DIGECITSS), and Acción SIDA.
- e) Debriefings were also conducted via telephone with Synergy, with regular coordination on follow-up redrafts submitted to USAID for review and comment, and final revisions incorporating the comments.

A list of contacted individuals and institutions and an agenda for evaluation team activities are included in Appendix A and B of this report.

## **II. BACKGROUND**

### **2.1 Country context**

Taking into account substantial under-notification of AIDS cases to the national epidemiological data system, by July 2000 there were a total of 10,738 reported HIV infections and 5,440 cumulative AIDS cases in Dominican Republic, of which 72% correspond to sexual transmission (mostly reported as heterosexual transmission). Even though the number of cases in the female population has increased considerably, the number of cases in the male population has remained steady for a decade, as has the male-to-female ratio of AIDS cases at 1.8:1.

Analysis of sentinel surveillance data from 1991 to 1997 for pregnant women at health centers in five sites indicates that HIV seroprevalence for women of reproductive age in the general population is 1.2%. Prevalence data on CSWs ranges from 2% to 9%, and STI clinics report an HIV prevalence of 3.2% for STI patients in the general population. Seroprevalence data for blood donors is less than 1%. HIV prevalence among Dominicans who applied for a US resident visa from 1988 through 1997 averaged 0.2%. UNAIDS estimated that at the end of 1999, HIV prevalence in the adult population was 2.2%, with approximately 130,000 people infected.

It appears that the HIV epidemic in DR has either leveled off or begun to decline in the past 5 years, although it must be noted that prevalence data within several important high-risk populations have not been collected since the early- to mid-1990s, including among men who have sex with men (MSMs), *bateyes*, and confined (i.e., prisoner) populations.

Recent studies indicate that the incidence of tuberculosis (TB) in DR is around 5% for adults. Among people with HIV infection, the incidence of TB increases to 14.5%. In addition to the impact on health care costs due to increased incidence of TB associated with AIDS, other

problems, such as the appearance of drug-resistant strains of TB, make treatment more difficult and costly.

STI incidence and prevalence are particularly high in the Dominican adult population. Chlamydia is the main STI among CSWs who seek medical treatment; prevalence in this group is reported at 15 %. Since 1984, *gonorrhea* and *syphilis* infections have declined; however, it should be pointed out that 75% of *Neisseria gonorrhea* infections occur among persons who are resistant to penicillin.

After acute diarrhea and respiratory infections, the main reasons for consultations among women attending primary health care centers are vaginal discharge and lower abdominal pain. The 1996 Demographic and Health Survey (*Encuesta Demografico y de Salud* or ENDESA-96) found that 30% of women surveyed reported an STI in the 12 months prior to the interview.

The team found clear evidence of political willingness and commitment by the government of DR (GODR) to make the fight against AIDS a priority, as demonstrated by the recently created (September 2000) Presidential AIDS Commission (*Comision Presidencial del SIDA or COPRESIDA*). The decree creating the Commission proposed a multisectoral approach to the fight against HIV/AIDS through the involvement of each of the following institutions: State Secretary of Education and Culture; Secretary of Tourism; the Armed Forces and National Police; the National Council for Superior Education; the National Business Council (CONEP); the Network of Persons Living with HIV (REDOVIH+); and the NGO Coalition for AIDS.

The proposed 2001 budget for COPRESIDA is US\$ 7,822,000, which covers primary prevention, vertical transmission, post exposition prophylaxes and care for AIDS patients, epidemiological research, institutional strengthening, and legal support.

COPRESIDA will also be responsible for managing additional funds to complement implementation of the National Strategic Plan for HIV/AIDS (*Plan Estrategico Nacional* or PEN). Funds are being negotiated with several agencies, including the World Bank (for a 4-year, US\$20 million loan), other government sectors, UNAIDS, EEC, and the private sector. The Japan International Cooperation Agency (JICA) has donated US\$170,000 through the World Bank for COPRESIDA start-up activities.

The concern about AIDS in DR dates back to 1983 when the first AIDS case was identified. In 1987 the National Sexually Transmitted Disease (STD) and AIDS Control Program (*Programa de Control de Enfermedades de Transmisión Sexual y SIDA* or PROCETS), was created within the Secretary of Public Health and Social Assistance (*Secretaría de Estado de Salud Pública y Asistencia Social* or SESPAS) to implement prevention and surveillance strategies. Consequently, CONASIDA was formed. In 1998, DIGECITSS replaced PROCETS and began to focus on a national coordination and normative role for HIV/AIDS prevention and control for DR. With the creation of COPRESIDA, DIGECITSS will maintain the role of representing SESPAS within the Presidential Commission.

## 2.2 USAID Project and Strategies

For approximately the past decade, USAID/DR has supported the coordination and improvement of public and private service delivery and the establishment of prevention interventions for the control of HIV/AIDS. In 1998, USAID/DR revised its Strategic Objective 2 for the Health and Population Sector, following Hurricane George. The 1999-2004 Strategic Objective was modified to: **“Increased Use of Sustainable Basic Health Services and Practices.”** The Intermediate Result 1 under SO2 for HIV/AIDS/STI became: **“Increased access to HIV/AIDS/STI prevention and care services by at-risk and affected populations of the Dominican Republic.”**

USAID strategic areas of intervention are:

1. Increasing knowledge, risk perception and health-seeking behavior among the most vulnerable groups;
2. Promoting the expansion of STI/HIV/AIDS information and services to ensure universal access of couples and individuals;
3. Encouraging community, private, and GODR collaboration and involvement;
4. Improving availability and use of data to monitor STI/HIV/AIDS prevalence and trends;
5. Advocating a policy environment that continues to allocate increased resources in the national budget;
6. Supporting community-based programs to provide care and support to people infected and affected by HIV/AIDS.

Proposed target populations include youth, women, STI patients, people living with and affected by HIV/AIDS, and core transmitter groups. Major partner organizations in country are: UNAIDS, to move the HIV/AIDS prevention agenda forward, the Pan American Health Organization (PAHO), to provide technical assistance to the national AIDS program, the European Union-EEC, to support mass communication and pilot community interventions, and local NGOs.

## 2.3 Vulnerable/target populations

**Youth:** A major focus of the national plan and USAID’s HIV prevention strategy has been on youth, a cohort with high HIV/STI infection rates. While programs directed mainly at heterosexual youth have begun to be implemented, it appears that young MSMs have not been sufficiently targeted under current strategies and remain at high risk of HIV infection. This group, including underage male CSWs, may represent a substantial proportion of overall HIV/STI transmission among youth.

**Women:** Women also continue to be a major focus of prevention efforts, and while these efforts have had some impact among female CSWs, other at-risk women, such as those in longer-term affairs (*parejas de confianza*), domestic workers, and *bateye* residents, remain largely outside the purview of current programs. Prevention efforts have also failed to address the high risk of HIV transmission posed by anal intercourse, in part due to strong cultural taboos. Because of these

taboos, condoms are less likely to be used during anal intercourse than during vaginal sex, and most women in the community (along with many health professionals and prevention workers) appear to be unaware of the unusually high risk of HIV infection during heterosexual anal sex. A 1996 study found that certain bisexual men, known as *bugarrones*, report especially high rates of anal sex with their female (and male) partners. However, health promoters and HIV educators in Puerto Plata and Santo Domingo, for example, report that while the most commonly asked questions asked during AIDS “raps” (*charlas*) concern the risk of oral sex, (heterosexual) anal intercourse is “never asked about.” In addition, so-called “dry sex” appears to increase risk of heterosexual HIV infection.

**Bateyes:** These sugar mill/migrant worker communities (or former sugar plantations) continue to constitute an important high-risk population for AIDS and other STIs. Due to conditions of abject poverty, a lack of health services, and a history of high mobility and sociocultural-linguistic marginalization, *bateyes* remain an immense, far-flung, and highly challenging target population for HIV/STI services and prevention campaigns.

**Men:** During the past 10-13 years, the proportion of male AIDS cases has remained fairly constant, hovering around 65% of total cases (SESPAS/DIGECITSS). While 3.5% of cumulative AIDS cases have been reported as homosexual transmission and another 4.3% as bisexual infection, different surveys in 1987, 1994 and 1998; biological studies in 1997 and 2000; and qualitative and anecdotal evidence suggest that the actual proportion of total HIV infections due to MSM activity may be higher than is officially reported. The accumulation of such evidence leads to a possible hypothesis that the DR may have a bisexual HIV epidemic, which would help explain the epidemiological situation of the nearly constant 1.8:1 male-to-female ratio maintained over the past 10 years. In more purely heterosexual epidemics, such as in most of sub-Saharan Africa, a 1:1 ratio is typically reached within a few years into the epidemic.

Due to the intense stigma surrounding homosexuality in Dominican society, many men who at least occasionally engage in MSM activity, especially those who are married and are mainly (or ostensibly) heterosexual in orientation, tend to engage with male partners in a very discreet or “invisible” manner. For this reason, and also because they may be unaware of the extremely high risk of HIV transmission through anal sex, such men, if found to be infected, are likely to be reported (and self-identified) as cases of heterosexual transmission.

Health care professionals interviewed for this evaluation expressed a “strong intuition” that “perhaps a large majority of infected men are probably bisexuals.” The fact that condom use among female CSWs appears to have reached levels of up to 90% in cities where there have been constant interventions for a number of years, lends further weight to the possibility that a large proportion of male HIV cases are in fact due to MSM transmission.

Although one fairly small NGO has led a dedicated campaign among gay men, mostly in the capital, other MSM populations have largely been ignored, including male CSWs, transvestites, bisexuals, MSMs in rural areas and residents of *bateyes*, youth/boys engaged in male sex work or other MSM activity, prisoners/confined populations, as well as male heterosexuals and the male population at large.

A number of risk factors are present for rapid heterosexual spread of HIV/AIDS in DR, including extensive multiple partnering, high prevalence of STIs, “dry sex” practices, and lack of male circumcision, which is associated with increased risk of female-to-male HIV infection.

**Prisoners/confined population:** This group represents another largely neglected, high-risk population. Male as well as female inmates are reported to engage in CSW or MSM activities and possibly other risky practices. Contributing factors include lack of condom availability and STI/HIV treatment services, and gaps in institutional responsibility for health care delivery and prevention programs.

### **III. KEY FINDINGS AND RECOMMENDATIONS**

#### **3.1 Program Management and Implementation**

Reported program implementation on sub-result areas 1, 2, 3 and 4—including IEC, policy support and information dissemination, access to STI/HIV/AIDS services, and technical assistance and capacity building to both government and nongovernmental organizations—has been quite successful. Through interviews with the staff of different USAID-funded projects, the team was able to assess satisfaction with AcciónSIDA's established management processes. AcciónSIDA seems to have well-trained staff available to attend to subgrantees in the diverse areas of support that are required for project implementation. However, the monitoring and evaluation component of the program has suffered due to high staff turnover.

Disbursement delays, supervision problems and funding interruptions have also plagued AcciónSIDA's NGO projects, mostly as a result of an unsuccessful initial partnership with Plan International. Though AcciónSIDA's contract with Plan International has been terminated and affiliated NGOs are pleased with their professional and supportive relationship with AcciónSIDA, they are still concerned with the project's capacity to manage monthly financial reports and disbursements. Delays on programmed disbursement of funds continue to pose problems.

**Recommendation:** The workplan for submission of monthly financial reports for reimbursement of expenses by subgrantees should be evaluated for practicality and administrative effectiveness.

**Recommendation:** An assessment of more dynamic administrative and financial procedures might be useful for new project approval and contract extensions in order to avoid discontinuation of disbursements. Under such procedures, interruption of activity implementation and dismissal of trained NGO staff due to delay of project extension approvals might be avoided.

#### **3.2 Stigma and Discrimination**

High levels of stigma and discrimination continue to be directed toward Dominicans living with HIV/AIDS. Every institution visited and person interviewed for this report expressed concern on this topic. Though most agreed that stigma had diminished (if only somewhat) among the general population, a high level of discrimination remains, and many health professionals at major public

hospitals and clinics continue to resist caring for AIDS patients. In addition, physicians continue to request HIV tests from patients who are eligible for surgery without their knowledge and refuse to assist them if the test result is positive. Businesses use HIV tests in hiring and firing decisions without obtaining the employee's consent or offering pre- or post-test counseling.

**Recommendation:** Design and implement in-service training and IEC campaigns directed toward health professionals of all levels, including in-service training on biosafety procedures and information about the care of AIDS patients.

**Recommendation:** Informational materials and posters focusing on human rights, solidarity, and how HIV can and cannot be transmitted in health settings, should be developed and placed in hospitals, clinics and other health facilities.

**Recommendation:** Informational materials and posters focusing on the need for pre- and post-test counselling should be developed and distributed in health facilities and workplaces.

**Recommendation:** Mechanisms for the dissemination and reinforcement of AIDS Law 55-93 should be discussed with both government officials and the AIDS NGO Coalition.

### **3.3. Behavior Change Communication (BCC)**

#### *Sexual Conduct and Behavioral Change Background*

According to available survey, focus group and interview data, and further corroborated in accounts by prevention professionals and anecdotal information, a significant reduction in risky sexual behavior has occurred among substantial segments of the Dominican population. Notably, men report more frequent condom use for CSW-based and other casual sexual encounters and an overall reduction in numbers of partners.

Beginning in 1996, qualitative evidence emerged from male and female focus groups conducted by the Dominican Association for the Promotion of the Family (*Asociación Dominicana Pro-Bienestar de la Familia, Inc.* or PROFAMILIA)—an International Planned Parenthood Federation affiliate and subgrantee of AcciónSIDA—that indicated a change in male behavior due to awareness and fear of AIDS. Both genders reported reductions in the number of sex partners and increased condom use with sex workers or partners they did not know well. The 1996 DHS survey earlier found that 85% of a national random sample (urban and rural) of 2,273 men claimed changes in sexual behavior because of their concern over AIDS. The 1997-98 PROFAMILIA Sexual Behavior Change study of 1,400 male respondents found that 27% reported consistent condom use during the previous 60 days. Recent data by one of the same investigators indicate that such behavioral changes, which continue to stem from a palpable fear of contracting HIV, have continued essentially unchanged. Similar fears do not appear to apply to STI infection other than HIV.

In effect, widespread, unprotected multiple partner practices, which were reportedly common 10 or more years ago, have decreased substantially, although considerable levels of multiple partnering and, at times, low condom use persist among some populations of teenagers/young people. Also, condom use in stable relationships and more “trusting” affairs generally continues

to be low. Nevertheless, HIV and STI rates in DR have leveled off or decreased since the mid-1990s. It should be noted that HIV seroprevalence (as well as behavioral survey) studies have not been conducted (or at least analyzed) among some important high-risk populations (e.g., MSMs, *bateye* residents, inmates), since the early- to mid-1990s.

### **Mass Media BCC Campaigns**

Most large-scale IEC efforts have been oriented to prevention of sexual (usually strictly heterosexual) HIV transmission. Although television and radio spots often focus on condom use (either explicitly or by suggestion), IEC/mass media prevention messages also emphasize partner reduction/fidelity, abstinence/postponement of sexual debut (e.g., the recent “El Sexo Puede Esperar” campaign), and dissemination of information on STI treatment/prevention. With support from the media, this strategy of combined messages has made an impact on HIV infection, partly because it is appropriate to the DR cultural and sociopolitical context. However, there is a need for greater “bottom-up” input into the design and evaluation of public prevention campaigns.

Discrimination, stigma, and associated ignorance and misinformation about HIV/AIDS have not been adequately addressed in media campaigns (a notable exception was a briefly aired, highly regarded TV spot many years ago, showing an HIV-positive person celebrating his birthday with coworkers who freely embrace and comfort him). A 2001 Knowledge, Attitudes and Practices (KAP) survey by AccionSIDA of *bateye* residents near the capital, for example, found that 54% believe that HIV can be transmitted through use of public toilets; 59% believed that HIV can be transmitted through mosquito bites.

In addition, there is evidence of a widespread lack of information among lay people—across all socioeconomic and regional strata—regarding STIs other than HIV/AIDS. In a focus group conducted with a dozen youth living in a semi-rural community near Santiago, only three could name *any* STI, and none, including a community health worker, had heard of genital herpes, a common and incurable STI. An AccionSIDA-sponsored TV spot recently attempted to address discrimination against and the rights of people living with HIV/AIDS, and is considering addressing the popular myths surrounding casual transmission and associated stigma in a future TV spot.

Mass media prevention campaigns have also neglected to address the significant proportion of HIV infections due to MSM activity. This is not surprising, given the historically conservative nature of various religious and cultural institutions. Prevention of HIV infection among MSMs will continue to be an important and highly challenging aspect of BCC/IEC campaigns in DR.

**Recommendation:** The gains that have been achieved in reducing risky sexual behavior should be maintained and extended through continuation of IEC campaigns oriented to prevention of sexual transmission of AIDS and other STIs, and should continue to involve a diverse approach, including partner reduction and abstinence/fidelity messages, and dissemination of information on condom use.



**Recommendation:** Greater “bottom-up” input is needed in both design and post-screening evaluation of mass media prevention campaigns. This can be implemented, for example, through the use of focus groups carefully selected to embody a representative sampling of the target population.

**Recommendation:** Notwithstanding the obvious sociocultural limitations, mass IEC campaigns should pay at least minimal attention to (or at least a subtle suggestion about) the risk of HIV/STI infection for MSMs, in addition to the infection risks for heterosexuals.

**Recommendation:** Further attention must be paid to reducing the stigma and associated lack of accurate information concerning the risk of casual transmission of HIV/AIDS.

### **Peer Education, Theater, and Community Involvement (by Sector)**

**Youth:** Several NGOs have been working with youth, mainly through utilization of face-to-face peer health promoter networks, popular theater approaches, and other appropriate forms of “low-tech” IEC. Such methodologies for the prevention of HIV/STIs among youth include a PROFAMILIA project that integrates the youth “information multiplier” method, discussion groups, and theatrical presentations into communities and schools. This program relies on parent involvement within a youth-friendly clinical setting for the diagnosis and treatment of STIs, counseling and provision of family planning methods, and condom distribution. PROFAMILIA also works with barbershops, beauty salons, and other community-level actors such as firefighters, policemen and the Red Cross, who can serve as examples/mentors to youth. Prevention efforts among the *bateye* population are now focusing exclusively on youth. An extensive peer health promoter intervention is being implemented in a number of *bateyes*, mainly located near the capital.

Some IEC efforts have encouraged parents to openly discuss sexuality with their children. However, many youth report that their parents rarely, if ever, address sexuality or HIV/STI-related themes at home.

Because youth programs have been primarily directed at preventing heterosexual HIV transmission, it appears that current strategies are not reaching young MSMs (including underage male CSWs, many of whom, along with other MSM youth, do not self-identify as openly homosexual); this group continues to be at high risk of HIV infection.

**Recommendation:** The focus on youth should continue, and organizational efforts to reach harder-to-access youth populations need to proceed and expand.

**Recommendation:** Programs to reach at-risk youth in the *bateye* communities should be adequately supported and monitored to ensure that a sufficient level of services is delivered to this economically, culturally, and linguistically marginalized population.

**Recommendation:** Encouragement of greater participation by parents in their children’s sexual education, and discussion of sexuality within the family context, should be continued and strengthened.

**Recommendation:** The focus on youth should expand to encompass young MSMs, including those who do not necessarily self-identify as homosexual.

**Women:** Due in part to the dedicated work of NGOs (e.g., the Center for Integral Orientation and Studies (*Centro de Orientación e Investigación Integral, Inc.* or COIN) and the Center for the Promotion of Human Solidarity (*Centro de Promoción y Solidaridad Humana, Inc.* or CEPROSH)), condom use among female CSWs appears to have risen impressively. In one study, between 92% (street CSWs) and 100 % (in formal CSW “houses”) of sex workers reported using condoms during encounters with their previous five clients. STI services for female CSWs have also improved somewhat, although STI treatment and prevention in this population requires greater attention. Other organizations such as Dominican Women in Development (*Mujeres en Desarrollo Dominicana, Inc.* or MUDE) have been working with rural and other female populations, although a greater level of input is required to reach additional high-risk and hard to reach women, including those involved in longer term “trusting” affairs (*parejas de confianza*), domestic workers, and *bateye* residents.

Due to cultural taboos, prevention programs have not educated the public about the high risk of HIV infection through (receptive) anal intercourse. Due in part to a strong reluctance to discuss anal sex, condoms are less likely to be used for this practice, which is associated with an HIV infection risk 12-20 times higher than that of receptive vaginal intercourse (and much riskier still than forms of oral sex). One possible approach to disseminating this type of prevention information could be to emphasize the high-risk nature of certain *practices* such as anal intercourse and dry sex, as opposed to specifying particular populations (e.g., MSM) that are viewed as more likely to engage in such activities. Furthermore, the Church and other traditionally conservative cultural institutions may not necessarily oppose IEC efforts focused on the high risk of anal sex, since such messages would be consistent with their ideologies regarding such practices.

**Recommendation:** Preventive, clinical and other HIV/STI services for at-risk women must continue in order to maintain and extend the gains that have been realized in HIV prevention in this population. Education efforts should aim to reach high-risk and marginalized women, such as domestic workers and *bateye* residents.

**Recommendation:** Where appropriate (e.g., perhaps beginning with a pilot project on the North Coast), IEC campaigns should be developed to inform women (in addition to MSMs) of the unusually high risk of anal intercourse in addition to the additive risk of cultural practices such as dry sex.

**MSMs:** Early in the country’s AIDS epidemic, much of both public risk perception and of prevention programming was concentrated on this population. In subsequent years, greater attention has been placed on women, youth and other at-risk groups. Current prevention strategies need to address the fact that a considerable share of new HIV infections among men continue to be associated with MSM practices. Available data support this finding despite indications that cases among women and heterosexuals continue to comprise a large proportion of overall HIV transmission. If nearly all IEC and other prevention efforts are directed at

heterosexual transmission, a real danger exists that MSMs (and young MSMs in particular) may not be receiving an adequate level of HIV/AIDS/STI services and information, particularly concerning the high risk of receptive anal sex.

One small but dedicated NGO, Friends Always Friends (Amigos Siempre Amigos or ASA) has been leading an ongoing campaign among MSMs, but most of its work has focused on those who are more or less “out” as homosexuals. Given the magnitude of effort required, it is unlikely that a small NGO would be capable of implementing and managing such programs single-handedly throughout the country. Meanwhile, other MSM populations are largely ignored, including transvestites, who have been found to have by far the highest HIV prevalence levels of any group (34% in a 1994 study), male CSWs, bisexuals, and MSMs in the *bateyes* (also typically not openly “gay”). Other neglected groups include MSMs in rural areas (in fact, in most areas outside the capital, including the North Coast), prisoners/confined populations, and male heterosexuals.

**Recommendation:** Increased input is urgently needed to expand successful prevention efforts among homosexual men in the capital to other subpopulations and regions, including male CSWs, transvestites, bisexuals, MSMs in other cities and rural areas, residents of *bateyes*, young men/boys engaged in CSW or other MSM activity, prisoners/confined populations, and the evidently sizeable group of “hidden” MSMs in the general population.

**Recommendation:** One potential approach for reaching men would be to focus some prevention efforts on male heterosexuals or the male population in general (i.e., without specifying any sexual orientation in particular).

**Recommendation:** Because a single NGO with limited personnel resources (ASA) is presumably not capable of reaching all MSM subpopulations in all regions of DR, collaboration between ASA and other NGOs such as COIN and CEPROSH should be investigated. Working together, these groups may be able to develop effective programs targeting male sex workers, transvestites, MSMs in the North Coast, young MSMs, and other previously underserved MSM populations.

**Recommendation:** More research is required to measure HIV and STI prevalence in MSMs and other men, thereby strengthening estimates of the actual proportion of total HIV infections or AIDS cases comprised of MSMs.

**Recommendation:** The feasibility (e.g., projected client use, cost-benefit analysis) of developing an STI treatment clinic specifically oriented to the MSM/male population should be investigated.

**Bateyes:** In recent years, HIV/AIDS prevention programs have increasingly focused on sugar mill/migrant communities, which are located throughout DR and continue to form a large and important high-risk population for AIDS and other STIs. However, due to socioeconomic marginalization and cultural and linguistic limitations, providing health care services, condom access, and HIV/AIDS prevention programs to these poor communities remains a challenge. The evaluation team did not evaluate the Center for Social Cultural Activities' (Centro de Animación Socio-Cultural or CASCO) now-terminated prevention program among *bateye* adults.

**Recommendation:** Continuous monitoring and evaluation of the *Bateye* Project is recommended to insure an adequate level of direct service delivery to the target youth population.

**Recommendation:** Development of prevention programs and (STI/primary health) clinical services for the adult *bateye* population is recommended, in addition to development of a youth program.

**Recommendation:** Development of IEC campaigns (including perhaps radio/audio materials) in Creole is recommended.

**Recommendation:** Integration of family planning (and primary health) programs with HIV/STI prevention efforts is needed for the *bateye* population.

**Inmates/Confined Populations:** Time and logistical constraints precluded a site visit to a prison, but according to research and discussions with professionals working with the prisoner population, inmates appear both to be at high risk of HIV/STI infection through sexual (and possibly drug-related) transmission and also tend to receive very little in the way of effective treatment or health prevention services. In general, these at-risk populations have “fallen between the cracks;” the government has not accepted any responsibility for prisoners' health care and other needs.

**Recommendation:** Analysis and publication of existing KAP data should be completed. In addition, HIV/STI seroprevalence and possibly behavioral practices studies should be conducted in different confined populations.

**Recommendation:** Development of HIV/STI prevention and treatment programs for prisoners and other confined populations is needed, possibly in conjunction with NGOs or other interested organizations.

**Persons Living with HIV/AIDS (PLWHAs):** In addition to the aforementioned crucial need for greater IEC efforts to reduce discrimination, stigma and misinformation faced by PLWHAs—not to mention a variety of unmet treatment/care/support needs—there is a great need for broader participation by this group in HIV/AIDS *prevention* programs.

**Recommendation:** Demand for peer counseling and other HIV education interventions performed in part or in full by PLWHAs should be increased. Such interventions should be developed and staffed through networks of HIV-positive persons, such as the *National Network of Persons Living with HIV/AIDS* (Red Nacional de Personas que Viven con VIH or REDOVIIH+) and Grupo Clara.

**Target Population Recommendation:** Surveillance, epidemiological, and sociocultural data—although not always complete or recently updated—suggest that while HIV infection rates in some antenatal sites remain relatively significant, the epidemic is still largely concentrated among “core transmitter” and other high-risk groups (i.e., CSWs, MSMs, and *bateye* residents). Therefore, the national prevention strategy should continue to focus on both the general (female

and male) population as well as high-risk subpopulations. In addition, it is important to note that most prevention, care and other HIV/STI programs continue to function largely as pilot programs in the capital and to some extent in the North Coast, while other regions continue to lack service delivery.

### **3.4 STI Prevention and Treatment**

#### **STI Management**

The USAID/AIDSMARK strategy for capacity building in STI management and program decentralization within DIGECITTS includes allocation of funds and short-term technical assistance provided by a senior STI management consultant. The consultant assists with revision and validation of the STI management manual and the national training curriculum. Medical doctors have been trained at the central, provincial and municipal levels. In addition, DIGECITTS has incorporated a primary health worker model into its manual for care providers at the primary level.

An absence of drugs, together with widespread client inability to pay for drugs, has resulted in a limited prescriptive practice among physicians who have attended STI training. The general population is not aware of the importance of early treatment of STIs, nor do they have sufficient information on STIs to be able to recognize their symptoms. Female CSWs are an exception. They have been targeted by intervention projects managed by AccionSIDA (i.e., CEPROSH and COIN) that promote behavior change and mandatory periodic health exams.

**Recommendation:** Integration of STI syndromic management into primary health care at all levels of the public health system is needed. This includes dispensary, rural or urban outpatient clinics, maternal and child health or family planning sites, as well as hospital outpatient clinics.

#### **Pre-Packaged Treatment (PPT) Pilot Project**

In November 2000, DIGECITTS completed a pilot project to promote the use of PPT for STI treatment. STI syndromes to be treated via PPT included GUS, urethra discharge, vaginal discharge, and both vaginitis and cervicitis. The project included design of an STI package for three health care centers—one serving CSWs, one serving military troops, and one serving the general population. Information and promotional materials aimed at health professionals were disseminated through drug stores and popular pharmacies or “boticas populares.” Medical registry and monitoring and evaluation forms were also distributed. Drugs were provided by the public health system (SESPAS-PROMESE).

The project's main objective was to assess client and provider acceptability and satisfaction regarding PPT. The project was implemented in specialized STI outpatient clinics at hospital sites in the capital city of Santo Domingo, where two of the services are targeted to female CSWs and their clients, and the third to military personnel.

Preliminary findings include:

- 44% of all patients who received PPT returned for a second consultation. STI symptoms disappeared for 88% of patients.
- 33% reported condom use with a regular sexual partner; 26% reported use with casual partners.
- 85% read the information on the PPT kit, and 67% gave referral cards to their sexual partner. 89% reported satisfaction with PPT; 5% were not satisfied due to persistence of symptoms or side effects.
- Men returned for treatment more frequently than women, as did military troops and persons with a previous history of STIs.
- The project manager reported operational problems in completing forms and clinical histories. This has been documented as a major problem in maintaining medical registry and vital statistics in DR.

**Recommendation:** Client satisfaction with PPT should be measured in other cities before considering national scale-up of the pilot project.

### **3.5 Voluntary HIV Counseling and Testing (VCT)**

The “Dominican Model for VCT” has completed its first stage of development. There is wide consensus among NGOs and the public health sector that VCT is a feasible strategy for DR and there is need for strengthening referral centers and creating new ones, based on service contract agreements between the private and public sectors. Major participation and commitment on the part of both private and public sectors is needed. A first-year plan for implementation of VCT services is available as an instrument for resource allocation.

**Recommendation:** The private sector should be engaged in a VCT strategy, since it serves as the major provider of HIV testing services.

**Recommendation:** A VCT strategy should be linked with a policy dialogue component through the enforcement of AIDS Law 55-93, which prohibits the indiscriminate use of HIV testing, especially without appropriate counseling services.

### **3.6 Availability and Access to Condoms**

The initial condom social marketing strategy implemented by USAID through PSI/AIDSMARK and John Snow, Inc. was not effective due to several constraints, including incoming contraband shipments of low-priced socially marketed condoms from Haiti. The well-known “Pante” condom was introduced to the market at a lower price than the official socially marketed condoms for DR. In addition, local counterpart contributions within the decentralized health system did not occur on schedule. There is some distribution of “family planning project condoms” via family planning services and clinics.

**Recommendation:** Coordination of, and advocacy for, a national condom policy is urgently needed. This policy should provide access to condoms for poor and marginalized populations in

DR, and should involve a coordinated effort among international and national agencies in family planning and HIV/AIDS prevention and care.

**Recommendation:** Condom distribution must be made available for targeted populations who are vulnerable to HIV and have limited access to resources (i.e., persons living with HIV, poor women and youth in *bateye* populations, prison inmates and other confined populations, clients of STI clinics, and CSWs).

### **3.7 Program Monitoring and Evaluation (M&E)**

#### **NGOs**

Strategic planning exercises have provided an opportunity for NGOs to review strategies, develop monitoring and evaluation frameworks to ensure coverage of programmatic areas, and set up mechanisms for data dissemination. Engagement in the decentralization of the health system was intended to bring planning and resource allocation to the provincial level.

USAID's M&E framework includes well-defined program goals, target populations, objectives, and process indicators. The M&E plan was implemented through information gathering and monitoring of individual projects but without feedback to or participation of appropriate community or NGO staff. The process was also carried out without evaluating how project implementation feeds back into the overall USAID program plan.

#### **DIGECITSS**

Supporting effective program implementation through providing quality and timely assistance to NGOs is an important component of the USAID project. Technical assistance consultants provided to DIGECITSS through the "Limited Scope Grant," complemented by FHI/IMPACT and AIDSMARK, have been helpful and supportive, but some program areas remain in need of continuous support. DIGECITSS has acquired new, more accommodating office space and has implemented appropriate staff organizational development and capacity activities to take a leadership role in setting norms and coordinating HIV/AIDS/STI programs in DR.

However, the same result has not been achieved in program M&E. The staff perception of DIGECITSS is that development of information systems capacity for program M&E will be achieved through equipment and human resources such as computers, networks, software, computer training, data entry personnel, and information resource coordination. Staff place a lot of importance on the design and use of forms as a way to supervise activities in the absence of a well-designed process for M&E of programs and projects.

As a consequence, DIGECITSS is not using all available funds and has not developed a design for monitoring implementation of the National Strategic Plan for HIV/AIDS. Achievement of expected results is reported by activity, and only in some cases by subproject, thereby making it difficult to assess overall results and impact. In addition, while outcome indicators have been introduced more widely in project design (logical framework), it is difficult to demonstrate how project results from program- and facility-based data allow for program monitoring, or how

population-based biological/behavioral data allow for impact assessment. Identification of best practices is made difficult in the absence of clear process indicators, which are critical to guarantee sustainability.

**Recommendation:** Training and technical assistance should be focused on a module on monitoring and reporting progress.

**Recommendation:** NGO staff should be provided with tools and training in processing and analysis of data and information.

### **3.8 Involvement and Coordination with GODR**

SESPAS, through PROMESE, has already included STI drugs in its essential drugs list, since they are used to treat non-STIs such as respiratory infections. For outpatients, the availability of these drugs through the “boticas populares” is irregular though they are available at low cost . A recent agreement between DIGECITTS and PROMESE has guaranteed provision of both drugs and materials to assemble PPT kits, as well as resources to further assess (in the same areas of previous pilot intervention) the social marketing of PPT.

**Recommendation:** Additional pilot sites should be selected for testing of PPT, and marketing of PPT should be expanded beyond pilot sites. One idea is to introduce PPT in locations that are already beneficiaries of other intervention projects.

**Recommendation:** More operational research is needed to assess the affordability of PPT and feasibility of STI treatment and control in family planning and other settings.

**Recommendations:** Further intervention trials and feasibility studies are needed for community-wide clinical and behavioral interventions.

**Recommendation:** Promote community-based integrated care systems for STI control that combine behavior change messages absorbed by community members through mass media and interpersonal strategies.

### **3.9 Partners**

Government and non-government officials expressed agreement that coordination in the use of financial and technical resources for AIDS control and prevention has improved, as has collaboration among donors in implementing major HIV/AIDS strategies established under the National Strategic Plan.

**Recommendation:** USAID should promote continuous dialogue among donors and participation in policy discussions. Mechanisms established in country by UNAIDS thematic and technical theme groups should be utilized to determine which intervention areas are priorities.



### **3.10 Private Sector and Business Community**

Private sector involvement in HIV/AIDS activities in DR has been minimal and inconsistent. Though the tourism and hotel industries have participated in some HIV/AIDS/STI prevention efforts, project sustainability has been limited. Executive managers of hotel chains are often located outside of the capital and occasionally outside the country, making it difficult to negotiate availability of personnel dedicated to HIV/AIDS prevention activities.

**Recommendations:** Efforts should be directed toward contacting private companies and multinational enterprises active in DR to engage in HIV/AIDS prevention, in accordance with internationally recognized norms.

**Recommendation:** Contacts with the National Business Council (CONEP) should be systematized.

## **IV. FUTURE DIRECTIONS**

Despite successful efforts made in DR to control the HIV/AIDS epidemic, conditions exist for a rapid spread of infection in the general population. These conditions are evident in high STI rates; high birth rates among youth and adolescent women; active migration to and from DR; a growing number of sex workers; "hidden" homo- and bisexual practices; and widespread stigmatization of AIDS, which precludes open discussion of the disease.

Constant migration of people to and from DR leaves the country particularly vulnerable to rising infection rates. More than 2 million tourists visit DR each year. More than 500,000 Haitians are estimated to be residing legally or illegally in DR, most of whom are young male migrant workers in construction or agricultural trades. An estimated 1 million Dominicans reside in the United States, with a high concentration in New York City, and Dominican women often migrate to Europe, Haiti and the rest of the Caribbean, in many cases to engage in sex work.

In addition to addressing the risk of HIV infection among migrants, it is imperative that prevention efforts reach Dominican youth. Studies carried out among DR adolescents indicate early and unprotected sexual debut. In 1998, 23% of all pregnancies occurred among women and girls under age 20.

Taking these factors into account—and in addition to the recommendations outlined above that relate directly to USAID/DR Strategic Objective 2 and Intermediate Result 1—the team offers the following recommendations to be considered on a long-term basis.

### **Recommendations:**

- 1) Promote second-generation surveillance studies, including behavioral surveillance of general population groups such as women at antenatal clinics, as well as high-risk groups and core transmitters.

- 2) Expand research activities to include serosurveillance of HIV/STIs and behavioral practices in diverse populations (e.g., MSMs, *bateyes*, inmates) and regions of the country (e.g., Santiago) where there is no data collected on HIV/AIDS.
- 3) Commence research on risk factors including anal sex (MSM and heterosexual) and dry sex, and begin surveillance studies on STIs including Herpes-Simplex Virus-2, a still incurable and in many regions increasingly important STI implicated in HIV transmission.
- 4) Support implementation of proven interventions to prevent mother-to-child transmission. Such interventions have been carried out with success in four DR hospitals, and are scheduled to be replicated in additional sites.
- 5) Investigate safe alternatives for artificial feeding of babies and support related services such as milk banks and liquid formulas, and provision of information and counseling for mothers.
- 6) Promote exchange of best practices and successful interventions in clinical sectors between cities such as Puerto Plata and Santo Domingo, and between physicians in military hospitals and other public hospitals, regarding issues such as mother-to-child transmission, biosafety, and voluntary counseling and testing.
- 7) Promote greater involvement by church leaders and members in HIV/AIDS care and prevention. This largely untapped and critical sector has the potential to curtail a national epidemic.

## **APPENDICES**

## **A. SCHEDULE OF VISITS**

|            |  |
|------------|--|
| January 15 | Arrival in Washington, D.C.  |
| January 16 | Evaluation Team briefing by:<br>TvT/Synergy: Saha AmaraSingham, Barbara De Zalduondo, Lori Salins<br>USAID/Washington LAC Bureau and G/PHN/HIV-AIDS Division:<br>John A. Novak and Carol J. Dabbs<br>Travel to Dominican Republic  |
| January 17 | Entry meeting with USAID/DR: María Castillo, David Losk and Marina Taveras<br>Team planning meeting  |
| January 18 | AcciónSIDA: Tito Coleman, Tanya Medrano, Ceneyda Brito,<br>Victor Pérez and Hoisex Gómez<br>Health Secretary/ DIGECITSS: William Hernández Basilio   |
| January 19 | ONUSIDA: Ernesto Guerrero<br>COPRESIDA: Luis Emilio Montalvo Arzeno<br>AcciónSIDA: Tito Coleman, Tanya Medrano, Ceneyda Brito,<br>Victor Pérez and Hoisex Gómez  |
| January 20 | Evaluation Team progress review meeting  |
| January 22 | PROSISA: Antonio Sánchez<br>REDOVIH+: César Castellanos<br>ASA: Leonardo Sánchez<br>PROFAMILIA: Magaly Caram de Alvarez  |
| January 23 | ADOPLAFAM: Ramón Portes Carrasco<br>COIN: Santo Rosario, Luis Moreno<br>CASCO: Bethania Betances, Elizardo Puello, Batey Project team<br>DIGECITSS; Sofía Khoury, Mayra García, María Isabel_____ and Clara<br>Morillo<br>IDCP: Rafael Alcántara<br>INSALUD: Guillermo de la Rosa<br>INSALUD/PREVISA: Jaime de la Rosa<br>REDSALUD: Patricio Murgeytio |
| January 24 | Batey “Bienvenido”, Haina, San Cristóbal<br>Batey “Esperanza”, San Pedro de Macorís  |

|            |  |
|------------|--|
| January 25 | <p>Puerto Plata</p> <p>CEPROSH - Avancemos and 100% Condom CSW-Projects: Bayardo Gómez ,<br/>Héctor Jerez and Alexandra Lister.</p> <p>CLARA - care and support for persons with HIV/AIDS</p> <p>Puerto Plata – site visit with CEPROSH (nocturnal) prevention educators</p> |
| January 26 | <p>Puerto Plata - Hospital Francisco Limardo</p> <p>Santo Domingo:</p> <p>PROFAMILIA –Youth Project Intervention</p>   |
| January 27 | <p>Evaluation team report preparation meeting</p>  |
| January 29 | <p>Field visit and focal group to Santiago by one team member</p> <p>Licey Village – Rural Area, Santiago - MUDE</p> <p>Report preparation</p>   |
| January 30 | <p>Report preparation</p> <p>Meeting with USAID/DR</p> <p>Meetings with Eddy Perez-Then and Aldo Conde</p>   |
| January 31 | <p>Draft report completed and reviewed</p> <p>Meeting with Julia Hasbún</p>  |
| February 1 | <p>Presentation of draft report to USAID/DR</p>  |
| February 2 | <p>Presentation of draft report to DIGECITSS and AccionSIDA</p>  |

## B. LIST OF CONTACTS

|  |   |
|--|---|
| <b>AcciónSIDA:</b>                         | Tito Coleman, Project Director - Tanya Medrano  |
| <b>ADOPLAFAM:</b>                          | Dr. Ramón Portes Carrasco, Executive Director   |
| <b>ALCONDE:</b>                            | Lic. Aldo Conde   |
| <b>ASA:</b>                                | Lic. Leonardo Sánchez, Executive Director   |
| <b>CASCO:</b>                              | Lic. Bethania Betances, Project Director; Elizardo Puello   |
| <b>CENISMI:</b>                            | Dr. Eddy Pérez, Sub-Director  |
| <b>CEPROSH:</b>                            | Dr. Bayardo Gómez, Executive Director   |
| <b>COIN:</b>                               | Lic. Santo Rosario, Executive Director  |
| <b>COPRESIDA:</b>                          | Dr. Luis Emilio Montalvo Arzeno, Executive Director   |
| <b>DIGECITSS:</b>                          | Dr. William Hernández Basilio, General Director   |
| <b>Fundación Génesis:</b>                  | Dra. Martha Butler de Lister, Executive Director  |
| <b>IDCP:</b>                               | Dr. Rafael Alcántara, Project Coordinator   |
| <b>INSALUD:</b>                            | Lic. Guillermo de la Rosa, Executive Director   |
| <b>ISH:</b>                                | Lic. Tony de Moya,  |
| <b>INSALUD/PREVISA:</b>                    | Lic. Jaime de la Rosa   |
| <b>ONUSIDA:</b>                            | Dr. Ernesto Guerrero, Country Representative  |
| <b>PROCETS:</b>                            | Dr. Luis B. Martínez, Director  |
| <b>PROFAMILIA:</b>                         | Lic. Magaly Caram de Alvarez, Executive Director  |
| <b>PROSISA:</b>                            | Dr. Antonio Sánchez, Director   |
| <b>PSI/FHI:</b>                            | Lic María del Carmen Weisse, Manager  |
| <b>REDOVIH+:</b>                           | Lic. César Castellanos, Executive Director  |
| <b>REDSALUD:</b>                           | Dr. Patricio Murgeytio, Director  |
| <b>USAID/Washington:</b>                   | Carol L. Dabbs, Chief, Population Health and Nutrition Team and<br>John A. Novak, Monitoring and Evaluation Advisor |
| <b>USAID-DR</b>                            | María A. Castillo, David Losk and Marina Taveras  |
| <b>Evaluation Consultant</b>               | Lic. Julia Hasbún   |
| <b>MUDE (Licey village near Santiago)</b>  | Alta Gracela Urena  |
| <b>Hospital Provincial Ricardo Limardo</b> | Dr. Benjamín Reyes, Subdirector   |
| <b>Hospital Provincial Ricardo Limardo</b> | Dra. Sonia Ramírez, Epidemiologista   |
| <b>Hospital Provincial Ricardo Limardo</b> | Dra. Ruth Lantigua  |

## **C. DOCUMENTS REVIEWED**

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- UNAIDS/DR - The Thematic Group and Technical Group: Plan de Acción Integrado ONUSIDA República Dominicana, 2000-2001
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## **D. STATEMENT OF WORK**

### **BACKGROUND:**

USAID/DR revised its Strategic Objective 2 for the Health and Population sector in 1998, following Hurricane George. The 1999-2004 Strategic Objective was modified to become “Increased Use of Sustainable Basic Health Services and Practices.” The Intermediate Result No. 1 under the SO2 for HIV/AIDS/STI is “Increased access to HIV/AIDS/STI prevention and care services by at-risk and affected populations of the Dominican Republic.” Achieving the intermediate result should result in increased knowledge and use of practices that reduce the risk of HIV/AIDS/STI among the Dominican population.

Over the past years, USAID/DR has supported the coordination of a national program, the improvement of public and private service delivery and the establishment of sustainable interventions. USAID has also contributed to increased public and private support, innovative approaches to increasing public knowledge, behavior change interventions, and sexually transmitted disease treatment. Recent studies have indicated that these approaches have been effective in encouraging the adoption of safe sex practices by target population. In addition, at this stage of the epidemic, USAID will support community-based interventions in support of people infected and affected by HIV/AIDS as part of the prevention care continuum.

Assistance has been channeled through three components: (1) a Cooperative Agreement for a five year sub-grant program to Dominican non-governmental organizations (NGOs) and community groups, to strengthen their institutional capacity, serve as catalysts for NGO advocacy, and improve collaboration/coordination amongst NGOs and between NGOs and the government of the Dominican Republic (GODR); (2) direct assistance to the GODR (US\$1.5) to provide support to the Ministry of Health (SESPAS) and the National HIV/AIDS Directorate (DIGECITSS) to increase their institutional capacity in sentinel surveillance and case reporting, in STI Management, testing and counseling and other areas; and (3) funds allocated to the Global Bureau for Field Support to access specialized technical assistance and services in support of the activity.

The principal partners identified to achieved this result are AED/Plan Int., indigenous NGOs, SESPAS (DIGECITSS, Provincial Directorates), CONASIDA, UNAIDS and EEC. Other members of our extended team are PSI/AIDSMARK , FHI/IMPACT, JSI and Futures Group.

On June 30th, 1997 the USG signed a Strategic Objective Agreement with the GODR, through the Technical Secretariat of the Presidency to implement in the Dominican Republic the health portfolio. Among the activities included are: (a) a five-year STI/HIV/AIDS Prevention Project with the Academy for Educational Development (AED) for the total amount of US\$11,225,000.00; (b) a limited Scope Grant Agreement with PROCETS/DIGESITS for US\$585,000 and activities under the centrally funded projects IMPACT, AIDSMARK and POLICY.

AED has over the past two years granted NGOs sixteen sub-grants amounting to (US\$3,260 million). The purpose of these sub-grants is to contribute to STI/HIV/AIDS prevention efforts

with the most vulnerable groups (adolescents, youth and women) as well as to promote the dissemination of STI/HIV/AIDS information and services to ensure access of couples and individuals. Three of the sub-grants have been granted to INSALUD, COALICION SIDA and REDOVIIH+. These institutions worked on the policy arena on issues that dealt with sexual education in public schools, legal counseling and support for persons living with HIV. They have also worked on the dissemination of the AIDS Law to key players in the labor and tourism sectors and training for state court justices and the attorney general on the AIDS law.

The National Direction for the Control of Sexually Transmitted Infections and AIDS (DIGECITSS) developed a National Strategic Plan for HIV/AIDS Prevention for 2000-2003 with the participation of key representatives of the public and private sector. This Plan serves as the framework for public and private sector activities during the coming years. As a result of the National Strategic Plan, twenty-six Provincial and Municipal operating plans have been developed. The additional funds required to implement the activities outlined in the National Strategic Plan were not budgeted in the 2000 National Budget. The new President, Hipolito Mejia, has formed on August 22, 2000 a Presidential Commission on AIDS (Comisión Presidencial del SIDA - COOPRESIDA) which will study the actual situation on HIV/AIDS, look for possible solutions and make the necessary recommendations to the President to prevent the spread of HIV/AIDS. This commission is headed by Dr. Luis Emilio Montalvo. Other members are the president of the network of people living with HIV+, as well as the head of UNAIDS in the country.

## OBJECTIVE OF EVALUATION

The **general** objective is to assess the progress achieved towards the expected IR1 results and provide recommendations to USAID to strengthen and/or modify the IR1 strategy.

The **specific** objectives are:

- 1) To determine whether assumptions are still valid. Revise them in case it is necessary.
- 2) To determine whether sub-activities funded under the HIV/AIDS Prevention Activity are supporting the achievement of the IR, **Increased access to HIV/AIDS/STI prevention and care services by at-risk and affected populations**, and further the Strategic Objective Two: **Increased use of Sustainable Basic Health Services and Practices**.
- 3) To analyze the progress achieved towards the obtainment of the expected results.
- 4) Identify success stories, problems and delays and their causes.
- 5) Recommend adjustments needed for the remaining years of AED's Cooperative Agreement to guarantee the achievement of the IR outcomes.
- 6) Recommend changes/modifications to the IR1 strategy.

## STATEMENT OF WORK

The contractor shall conduct the evaluation and respond to all points included in the objective of this statement of work and submit a report, which provides clear and concise findings,

conclusions and recommendations. The evaluation report shall also provide a statement of lessons learned and future directions that may emerge from the exercise.

The key aspects of the Project to be addressed are listed below:

### **1. Approach:**

The Intermediate Result Number 1 interventions focus on innovative approaches to increasing public knowledge, behavior change through adoption of safe sex practices, and treatment of sexually transmitted diseases. The principal approaches under the sub-grant activities have been to involve local non-governmental organizations, to focus resources on the most vulnerable groups (adolescents, youth and women), to promote dissemination of STI/HIV/AIDS information and services to ensure access of couples, and to address policy issues that deal with sexual education in public schools, legal counseling and support for persons living with HIV.

Questions that should be addressed are:

- Are the interventions effectively applying the above strategies?
- Does the approach need to be revised or reinforced?
- How effective has the project been at integrating its components into health care systems at various levels?
- Is the input level adequate or too high/low for the intended outputs? Has the absorptive capacity of implementers been reached?
- Is capacity building taking place? What further efforts need to be taken?
- Are the effects of the project being produced at an acceptable cost?
- Is the input level adequate or too high/low for the intended outputs?
- What proportion of the financial and technical resources were contributed by the government?

### **Primary Responsibility – Team Members**

### **2. Behavior Change Communication:**

The project has focused on behavior change efforts through targeted interventions to vulnerable groups, prevention strategies and counseling for HIV/AIDS/STI clients.

Questions that should be addressed are:

- Is there a clearly defined BCC strategy, or what is the implied strategy given the multiple interventions that have been carried-out under this component? What are the strengths/weaknesses and lessons learned? How can the strategy be strengthened in the immediate future and recommendations for the follow-on?
- What impact-type data is or might be available regarding the training received (under each component)? Health educators, peer educators, contact investigators, regional coordinators. How did participants utilize training received?

- How effective are interventions to reach targeted groups such as adolescents, youth and women (from the perspective of these group members). How might these interventions be strengthened?
- How successful have the sub-grantees been in helping empower women and increasing adolescent and youth involvement? What are the lessons learned and barriers/constraints encountered?
- To what degree have the project and NGOs achieved expected results in the activities for advocacy and in the recruitment of media support for those activities?
- What has been the extent of the financial support from the government for these activities?

### **Primary Responsibility – Behavior Change Communication Specialist**

### **3. STD Prevention and Treatment Services**

Questions that should be addressed are:

- What are the prescriptive practices of physicians and/or other health care providers (private and public sectors) who have attended training in syndromic management of STIs?
- Which activities are having the greatest impact in terms of Risk Perception and health-seeking behavior? Which activities are having the greatest impact in providing services and support for the infected/affected populations? Which are having lesser impact? Which activities respond to national priorities as identified by the National Strategic Plan?
- What outreach program activities are being conducted by the STI component?
- How reliable are the data reporting mechanisms at the STD clinics? What have been the barriers to capturing the data from the clinics? How might they be resolved?

### **Primary Responsibility – STI/STD Program Specialist**

### **4. Implementation**

Issues to consider include:

- Is implementation on track and achieving satisfactory progress towards its stated objectives?
- How effective have the partners been in implementing the project (SESPAS, DIGECITSS and AED)? How complementary are their activities?
- Are SESPAS/DIGECITSS and subgrantees reaching target populations, including access to services? If not, what are the barriers? How can they be overcome?
- To what degree has AED achieved coordination with DIGECITSS in policy and services? How effective has the coordination been?
- How effective is the relationship between AED and partner NGOs? To what extent has the monitoring system been adequate for assessing subgrantees internal weaknesses, performance and measuring impact?

- To what extent has AED technical assistance been adequate, including training, financial and administrative systems, sustainability, service delivery, and quality of services and Management Information Systems? Does the monitoring of the administrative and/or financial aspect need strengthening?
- Has the collaboration between AED and PLAN been successful? If not, what have been the resulting problems in technical assistance. How can they be remedied?
- Has the staff composition, duties and level of effort in AED been sufficient and adequate to comply with the agreement requirements?

#### **Primary Responsibility – Program Management & Evaluation Specialist**

### **5. Attribution:**

- Is the host country (which includes central/state governments, public sector and private sector) and/or other donors providing support in any of the AED activity areas?
- If yes, what is the nature of their intervention and what is the amount of the resources involved?
- Does the project significantly influence the direction of the host country and/or other donor resources; i.e. did the USAID activities help to leverage funds? If yes, how did the project accomplish this?
- Are interventions under the project leading to replication of similar activities by the host country and/or other donors, i.e. did it have a demonstration effect?
- Based on these do the indicators accurately reflect the manageable interest of the project?

#### **Primary Responsibility – Team Members**

### **6. Future Direction:**

Based on the progress to date the Team is requested make recommendations regarding the initiation of new activities in the project

- What other areas could be addressed by the current sub-activities to achieve the IR1 outcomes? What needs that are not addressed should be addressed under a new activity?

#### **Primary Responsibility – Team Members**

## **METHODOLOGY**

In order to examine the above issues, the following methodology should be considered:

1. Review of documents such as project paper, project agreement, project amendments, project implementation letters, tripartite agreement, Result Review and Resources Request (R4) etc.;
2. Meetings and discussions with concerned officers at USAID, AED, other donors;
3. Review of monitoring and evaluation reports;
4. Site visits to project-funded areas by APAC and other agencies;
5. Interaction with target groups;
6. Other information such as case studies, observational and anecdotal data may also be used as appropriate.

It is recommended that the team considers conducting interviews with key government, donor agencies and NGO representatives. Field visits are also recommended, depending on the familiarity of the team with grantee activities. These should preferably occur during the first ten days of the assignment. These visits will enable the team to have contact with the service providers and the beneficiaries of the assistance.

## REPORTS

The contractor will submit an evaluation report, preferably under 20 pages, in accordance with the requirements specified below. Three days prior to departure, the consultants will submit a draft report to USAID/DR for review and discussion. They will also debrief AED, SESPAS and DIGECITSS on the conclusions and recommendations. The tem coordinator will ensure that all reasonable comments on the draft report are incorporated into the final draft report. The final report will be due 30 days after leaving the Dominican Republic.

The format for evaluation report is as follows:

- **Executive Summary** – concisely state the most salient findings and recommendations (2pp);
- **Introduction** – purpose, audience, and synopsis of task (1pp);
- **Background** - brief overview of HIV/AIDS/STI in Dominican Republic (1pp);
- **USAID's Assistance Approach** – describe the USAID program strategy and activities implemented in response to the problem (1pp);
- **Findings/Conclusions/Recommendations** – for each SOW area (6 pp);
- **Lessons Learned** (1pp)
- **Issues** – provide a list of key technical and/or administrative, if any (1pp);
- **Future Directions** (2-3pp)
- **Annexes** – useful for covering evaluation methods, schedules, interview lists, and tables – should be succinct, pertinent and readable (not to exceed 10 pp)

## DELIVERABLES

Prior to departing the country the team will present a draft report in English. The team will also be asked to present the highlights of the reports to Mission, SESPAS/DIGECITSS and AED staff, the final week of the consultancy. The final report in original, with three (3) copies and

one copy on diskette, should be forwarded to USAID/DR within three weeks. The report will contain a brief executive summary section in both English and Spanish. The table of contents of the report will be mutually agreed upon by the team and USAID.

## **RELATIONSHIPS AND RESPONSIBILITIES**

The principal contact for this assignment will be Maria Castillo, Project Manager in the Health and Population Team (SO2). Logistic support for field visits will be coordinated with AED AccionSIDA NGOs. Other key contacts within USAID include: David Losk (Team Leader); Sarah Majerowicz (Health Reform); Kelve Perez (Nutrition and Community Development); Marina Taveras (Program Development Office/SO2); Elisane Alemar (Controllers Office/SO2); Norma Paredes (Project Assistant SO2); Rosanna Medina (Secretary SO2).

The principal contacts for SESPAS/DIGECITSS and the Academy for Educational Development (AED) are Dr. William Hernández, National Director and Eric Coleman, Director. Other key contacts are: Dr. Ernesto Guerrero, UNAIDS, Antonio Sanchez, Director, PROCISA, and Dr. Jaime de la Rosa, EEC Previsa project.

## **PERFORMANCE PERIOD**

The expected period of performance will be from January 16, 2000 through February 8, 2001.

## **DOCUMENTS**

The documents listed below will be provided to the team prior to their travel to the Dominican Republic. Other documents will be provided upon arrival:

- Limited Scope Grant Agreement with SESPAS/DIGECITSS
- Project paper
- AED Proposal
- Documents related to the Cooperative Agreement with AED
- PSI AIDSMARK Documents and correspondence
- FHI IMPACT documents and correspondence
- 1999-2003 National Strategic Plan for the Prevention of HIV/AIDS/STI
- Strategic Objective Two Pact
- 1999 Revised SO2 Strategy
- 1999 and 2000 Results Review and Resource Request (R4) Report

### **General Information**

1. Demographic and Health Surveys 1991, 1996 and 1999.
2. Estudio de Condonos (OMSA/PROFAMILIA)
3. Provincial Operational Plans (Key provinces)
4. Sectorial Plans (Bateyes, Armed Forces)



#### USAID Documents

1. Strategic Objective Two Pact
2. 1999 Revised SO2 Strategy
3. 1999 and 2000 Results Review and Resource Request (R4) Report

#### Project Documents

1. Project Paper
2. AED Proposal
3. Cooperative Agreement (517-A-00-97-07103-00)
4. Base line studies conducted by AED for Target Population